

<u>THE UNITED STATES PATENT & TRADEMARK OFFICE</u>

In re rate Application of:

Jan Raa et al.

Serial No. 09/511,582

Filing Date: February 23, 2000

Atty. Docket No. CU-2140

Group Art Unit: 1643

Examiner: Jeffery Stucker

Title: NOVEL, NON-ANTIGENIC, MUCOSAL ADJUVANT FORMULATION WHICH

MODULATES THE EFFECTS OF SUBSTANCES, INCLUDING VACCINE

ANTIGENS, IN CONTACT WITH MUCOSAL BODY SURFACES

Wildman, Harrold, Allen & Dixon 225 W. Wacker Dr. Suite 3000 Chicago, IL 60606-1229 August 20, 2001

Hon. Commissioner of Patents & Trademarks Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Before examining the above-referenced application, please amend as follows:

IN THE TITLE:

Replace the existing title with the following:

NOVEL, NON-ANTIGENIC, MUCOSAL ADJUVANT FORMULATION WHICH

ENHANCES THE EFFECTS OF SUBSTANCES, INCLUDING VACCINE ANTIGENS, IN

CONTACT WITH MUCOSAL BODY SURFACES

IN THE SPECIFICATION:

On page 2, line 9 replace the second paragraph with the following: